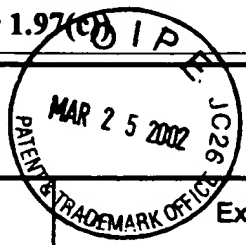


1756

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. <b>BUR920010052US1</b>	
In Re Application Of: <b>Christopher Magg</b>				
Serial No. <b>09/683,929</b>	Filing Date <b>03/04/02</b>	Examiner	Group Art Unit	
<div style="display: flex; justify-content: space-between;"> <div> <p>Title:</p> <p><b>HARDMASK/BARRIER LAYER FOR DRY ETCHING CHROME FILMS AND IMPROVING POST DEVELOP RESIST PROFILES ON PHOTOMASKS</b></p> </div> <div style="border: 1px solid black; padding: 5px; transform: rotate(-15deg); transform-origin: right top;">             COPY OF PAPERS              ORIGINALLY FILED           </div> </div>				
Address to: Assistant Commissioner for Patents Washington, D.C. 20231				
<b>37 CFR 1.97(b)</b>				
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.				
<b>37 CFR 1.97(c)</b>				
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:				
<div style="display: flex; justify-content: space-around;"> <input type="checkbox"/> the statement specified in 37 CFR 1.97(e);         </div>				
<b>OR</b>				
<div style="display: flex; justify-content: space-around;"> <input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).         </div>				



#2

RECEIVED  
 MAR 28 2002  
 TC 1700

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
**BUR920010052US1**

In Re Application: **Christopher Magg**



Serial No.  
**09/683,929**

Filing Date  
**03/04/02**

Examiner

Group Art Unit

**HARDMASK/BARRIER LAYER FOR DRY ETCHING CHROME FILMS AND**

COPY OF PAPERS  
ORIGINALLY FILED

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0456 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on \_\_\_\_\_

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

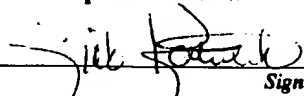
I certify that this document and fee is being deposited on 3/15/02 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Pat Guillemette

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

  
Signature  
**Richard M. Kotulak**  
Registration No. 27,712  
International Business Machines Corporation  
Intellectual Property Law - Mail 972E  
1000 River Street  
Essex Junction, VT 05452  
Phone: (802)769-4457

Dated: 3/12/02

**RECEIVED**  
MAR 28 2002  
**TC 1700**

CC:

IBM DOCKET: BUR920010052US1

PATENT

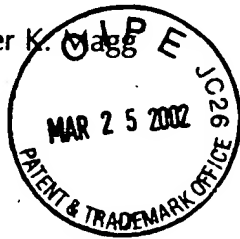
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

INVENTOR: Christopher K. Magg ) EXAMINER:

SERIAL NO.: ) ART UNIT:

FILING DATE: ) DATE: January 30, 2002

FOR: HARDMASK/BARRIER  
LAYER FOR DRY ETCHING  
CHROME FILMS AND  
IMPROVING POST  
DEVELOP RESIST PROFILES  
ON PHOTOMASKS



COPY OF PAGE  
ORIGINALLY FILED

RECEIVED  
MAR 28 2002  
TC 1700

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In accordance with 37 CFR 1.56, 1.97 and 1.98, the following items are made of record to assist the Patent & Trademark Office in its examination of this application and is, in the opinion of the attorney designated below for applicant(s), information relevant to the closest prior art of which that person is aware. The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or that no other art than that identified exists.

**PATENT NO.**

4,722,878  
4,738,907  
4,873,163  
4,876,164  
4,985,319  
5,126,231

**INVENTOR**

Watakabe et al.  
Shigetomi et al.  
Watakabe et al.  
Watakabe et al.  
Watakabe et al.  
Levy

**ISSUE DATE**

February 2, 1988  
April 19, 1988  
October 10, 1989  
October 24, 1989  
January 15, 1991  
June 30, 1992

Express Mail No.: EL046033570US


-2-

<u>FOREIGN PAT. NO.</u>	<u>COUNTRY</u>	<u>PUBLICATION DATE</u>
JP4371954A	Japan	December 24, 1992
JP56130750A	Japan	October 13, 1981
JP61255347A	Japan	November 13, 1986

A copy of each of the foregoing items and Form PTO 1449 are enclosed herewith.

Respectfully submitted,

By:

  
 Attorney, Kelly M. Reynolds  
 Reg. No.: 47,898

DeLIO & PETERSON, LLC  
 121 Whitney Avenue  
 New Haven, CT 06510  
 (203) 787-0595

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, as "Express Mail Post Office to Addressee"

Mailing Label No. EL046033570US on \_\_\_\_\_

Name of person mailing paper \_\_\_\_\_

Signature \_\_\_\_\_  
 ibmb100326000ids1

Date: \_\_\_\_\_